**Annexure I**

FORM NO. MGT.9

**EXTRACT OF ANNUAL RETURN**

**As on the financial year ended on March 31, 2019**

*[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]*

1. **REGISTRATION AND OTHER DETAILS:**

|  |  |
| --- | --- |
| **CIN** | U67190MH2014PTC256524 |
| **Registration Date** | 24/07/2014 |
| **Name of the Company** | Opulent Investment Adviser Private Limited |
| **Category / Sub-Category of the Company** | Company limited by shares / Indian Non-Government Company |
| **Address of the Registered office and contact details** | 113, Floor-11, Plot-226, Bajaj Bhavan, Barrister Rajani Patel Marg, Nariman Point, Mumbai- 400021I  Tel: +91 22 66393000  email: research@stockaxis.com I www.stockaxis.com |
| **Whether listed company Yes / No** | No |
| **Name, Address and Contact details of Registrar and Transfer Agent, if any** | Not applicable |

1. **PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

|  |  |  |  |
| --- | --- | --- | --- |
| **Sl. No.** | **Name and Description of main products/ services** | **NIC Code of the Product/ service** | **% to total turnover of the**  **Company** |
| 1 | Investment Advisors | 6619 (as per NIC 2008) | 100 |

1. **PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:**

The Company does not have any holding, subsidiary and associate Companies.

1. **SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**
2. **Category-wise Share Holding**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Category of Shareholders** | **No. of Shares held at the beginning of the year** | | | | **No. of Shares held at the end of the year** | | | | **% Change during the year** |
| **Demat** | **Physical** | **Total** | **% of Total Shares** | **Demat** | **Physical** | **Total** | **% of Total Shares** |
| **A. Promoters 1. Indian** |  |  |  |  |  |  |  |  |  |
| a) Individual/ HUF | 0 | 270000 | 270000 | 100 | 0 | 270000 | 270000 | 100 | 0 |
| b) Central Govt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) State Govt (s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) Bodies Corp. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Banks/FI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f) Any Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Sub-total (A) (1)** | **0** | **270000** | **270000** | **100** | **0** | **270000** | **270000** | **100** | **0** |
| **2. Foreign** |  |  |  |  |  |  |  |  |  |
| a)NRIs - Individuals | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b)Other-Individuals | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) Bodies Corp. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) Banks / FI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Any Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Sub-total (A)(2)** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** |
| **Total of (A) = (A)(1)+(A)( 2)** | **0** | **270000** | **270000** | **100** | **0** | **270000** | **270000** | **100** | **0** |
| **B. Public Shareholding** |  |  |  |  |  |  |  |  |  |
| **1. Institutions** |  |  |  |  |  |  |  |  |  |
| a) Mutual Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b) Banks/FI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) Central Govt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) State Govt(s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Venture Capital Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f) Insurance Companies | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| g) FIIs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| h)Foreign Venture Capital Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i) Others (specify) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Sub-total (B)(1):-** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** |
| **2. Non-Institutions** |  |  |  |  |  |  |  |  |  |
| a) Bodies Corp. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i) Indian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ii) Overseas | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b) Individuals | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i) Individual shareholders holding nominal share capital upto Rs. 1 lakh | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) Others (specify) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Sub-total (B)(2):-** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** |
| Total Public Shareholding (B) = (B)(1) + (B)(2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **C. Shares held by Custodian for GDRs & ADRs** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** |
| **Grand Total (A+B+C)** | **0** | **270000** | **270000** | **100** | **0** | **270000** | **270000** | **100** | **0** |

1. **Shareholding of Promoters**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Sl. No.** | **Shareholder's Name** | **Shareholding at the beginning of the year** | | | **Shareholding at the end of the year** | | | **% change in share**  **holding**  **during the year** |
| **No. of Shares** | **% of total Shares of the company** | **% of Shares Pledged/ encumbered to total shares** | **No. of Shares** | **% of total Shares of the company** | **% of Shares Pledged/ encumbered to total shares** |
| 1 | Nilaykumar V Daga | 135000 | 50 | 0 | 135000 | 50 | 0 | 0 |
| 2 | Kaushik Daga | 135000 | 50 | 0 | 135000 | 50 | 0 | 0 |
|  | **Total** | **270000** | **100** | **0** | **270000** | **100** | **0** | **0** |

1. **Change in Promoters' Shareholding.**

During the financial year 2018-2019, there was no change in the Promoters Shareholding.

1. **Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

There are no shareholders other than Promoters of the Company.

1. **Shareholding of Directors and Key Managerial Personnel:**

Directors do not hold any shares in the Company and the Company is not required to appoint Key Managerial Personnel.

1. **INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment:

The Company had no indebtedness with respect to Secured Loans excluding Deposits, Unsecured Loans or Deposits during the financial year 2018-19.

1. **REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**
2. **Remuneration to Managing Director, Whole-time Directors and/or Manager:**

No remuneration is paid to any Directors.

1. **Remuneration to other directors:**

No remuneration is paid to any Directors.

1. **Remuneration To Key Managerial Personnel Other Than MD/Manager/WTD**:

The Company is not required to appoint Key Managerial Personnel.

1. **PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:**

There were no penalties / punishment / compounding of the offences for breach of any section of the Companies Act, 2013, against the Company or its Directors or other Officers in default, if any, during the year.

**For & on Behalf of the Board of Directors**

**s/d s/d**

**Date: September 23, 2019 Devrath Bake Bihari Choursiya Sagar Choursiya**

**Place: Mumbai Director Director**

DIN: 06763440 DIN: 06763434